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01-14-04

#694 1744

PTO/SB/21 (08-00)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/963,954
		Filing Date	September 26, 2001
		First Named Inventor	Steven J. Caruso
		Group Art Unit	1744
		Examiner Name	Shay L. Balsis
Total Number of Pages in This Submission	4	Attorney Docket Number	14304US02

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ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO 1449/08A with references <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) (sheets) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Post-Card
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	McAndrews Held & Malloy, Ltd.		
Name (Print/type)	George Wheeler	Registration No. (Attorney/Agent)	28,766
Signature			Date: January 12, 2004

EXPRESS MAIL DEPOSIT

"Express Mail" mailing label number : EL849001065US
Date of Deposit January 12, 2004.



O/SB/17 (11-00)

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FEE TRANSMITTAL for FY 2004 Patent Fees are subject to annual revision.		Complete if Known		
		Application Number	09/963,954	
		Filing Date	September 26, 2001	
		First Named Inventor	Steven J. Caruso	
		Examiner Name	Shay L. Balsis	
		Group Art Unit	1744	
TOTAL AMOUNT OF PAYMENT		(\$55.00	Attorney Docket No.	14304US02

METHOD OF PAYMENT		FEE CALCULATION (continued)					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES					
Deposit Account Number	13-0017	Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
Deposit Account Name	McAndrews Held & Malloy	1051	130	2051	65	Surcharge - late filing fee or oath	
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		1053	130	1053	130	Non-English specification	
2. <input checked="" type="checkbox"/> Payment Enclosed:		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE		1251	110	2251	55	Extension for reply within first month	55.00
Large Entity Fee Code	Large Entity Fee (\$)	1252	420	2252	210	Extension for reply within second month	
Small Entity Fee Code	Small Entity Fee (\$)	1253	950	2253	475	Extension for reply within third month	
Fee Description	Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing Fee		1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing Fee		1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee		1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee		1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee		1451	1,510	1451	1510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$)		1452	110	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES		1453	1,330	2453	665	Petition to revive - unintentional	
Total Claims	Extra Claims	1501	1,330	2501	665	Utility issue fee (or reissue)	
Independent Claims	Fee from below	1502	480	2502	240	Design issue fee	
Multiple Dependent	Fee Paid	1503	640	2503	320	Plant issue fee	
1202 18 2202 9 Claims in excess of 20		1460	130	1460	130	Petitions to the Commissioner	
1201 86 2201 43 Independent claims in excess of 3		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1203 290 2203 145 Multiple dependent claim, if not paid		1806	180	1806	180	Submission of Information Disclosure Stmt	
1204 86 2204 43 **Reissue independent claims over original patent		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent		1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
SUBTOTAL (2) (\$)		1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
*or number previously paid, if greater; For Reissues, see above		1801	770	2801	385	Request for Continued Examination (RCE)	
		1802	900	1802	900	Request for expedited examination of a design application	
		Other fee (specify) _____					
		SUBTOTAL (3) (\$55.00					

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	George Wheeler	Registration No. (Attorney or Agent)	28,766	Telephone	312-775-8000
Signature		Date	January 12, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Docket Number (Optional)

14304US02



In re the Application of

Steven J. Caruso

Application Number

09/963,954

Filed

September 26, 2001

For

DEBRIS COLLECTING TECHNOLOGY

Group Art Unit

1744

Examiner

Shay L. Balsis

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

- ☒ One month (37 CFR 1.17(a)(1))
☐ Two months (37 CFR 1.17(a)(2))
☐ Three months (37 CFR 1.17(a)(3))
☐ Four months (37 CFR 1.17(a)(4))
☐ Five months (37 CFR 1.17(a)(5))

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 \$ 110.00
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 \$

- ☒ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the amount shown above is reduced by one-half, and the resulting fee is: \$ 55.00.
☒ A check in the amount of the fee is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☐ The Director has already been authorized to charge fees in this application to a Deposit Account.
☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 13-0017. I have enclosed a duplicate copy of this sheet.

I am the ☐ applicant/inventor

☐ assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ attorney or agent of record. Registration Number 28,766

☐ attorney or agent under 37 CFR 1.34(a).

Registration number if acting under 37 CFR 1.34(a) _____

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January 12, 2004

Date

312-775-8000

Telephone Number

George Wheeler
 Signature

George Wheeler

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 3 forms are submitted.